



# Memo

Date: Tuesday, October 16, 2018

To: Gabriela Garcia  
 ODOT Region 1 STIP Coordinator  
 123 NW Flanders St  
 Portland, OR 97201

From: Ken Lobeck, Funding Programs Lead, 503-797-1785

Subject: October 2018 Bundle #1 MTIP Administrative Modification, #AB19-01-OCT Approval Request

Dear Gabriela:

In accordance with 23 CFR 450.328, Metro is submitting a Metro approved administrative modification bundle for ODOT review and approval for STIP inclusion. This October 2018 Administrative Modification #1 is under amendment number AB19-01-OCT and contains four projects as shown in the below table:

Project List				
ODOT Key	Lead Agency	Project Name	Project Description	Modification/Changes
Project #1 19355	ODOT	OR212: Rock Creek at Richey Rd	On OR212 west of Damascus to almost 152nd Ave then east to Richey Rd at Boring, pavement preservation, repave roadway and upgrade ADA to current standards (HB2017 Awarded Project, \$1,210,451 Original Award)	<b>COMBINED PROJECT</b> This Admin Mod combines the construction phase funding of \$5,334,287 into Key 18772 to improve construction leveraging capabilities on OR212
Project #2 19356	ODOT	OR212: UPRR Structure - Rock Creek	On OR212 east of I-205 from the UPRR structure to Rock Creek near OR224, pavement repair including three inch inlay between fog lines, upgrade to ADA standards, and other improvements as needed (HB2017 Awarded Project, \$657,473 Original Award)	<b>COMBINED PROJECT</b> This Admin Mod combines the construction phase funding of \$4,261,282 into Key 18772 to improve construction leveraging capabilities on OR212
Project #3 18772	ODOT	OR212: SE Richey Rd - US26	Highway rehab & paving, construct/rehab missing or non-compliant ADA sidewalk ramps, drainage and storm water improvements, and replace Deep Creek culvert	<b>COMBINED PROJECT</b> Key 18772 receives a total of \$9,595,569 from Keys 19355 and 19356 to create a single paving construction phase for the projects on OR212
Project #4 19334	TriMet	FY18 Bus & Rail Preventive Maint (5307)	Capital Maintenance For Bus And Rail	<b>ADD FUNDS</b> Project is updated with recent FTA approved 5307 amounts for TriMet based on the now approved FTA formula fund split for the UZA

I certify Metro has completed all required review and approval steps as the MPO and IAW with 23 CFR 450.328 for the 2018 MTIP for this administrative modification bundle. Project narratives and support materials are also included.

Please direct any comments or questions concerning this amendment to Ken Lobeck, Funding Programs Lead at 503-797-1785, or via email at [ken.lobeck@oregonmetro.gov](mailto:ken.lobeck@oregonmetro.gov).

Thank you for your time to review Metro's October Bundle #1, 2018 MTIP Administrative Modification approval request to the 2018 MTIP and STIP.

*Kenneth F Lobeck*

Kenneth F. Lobeck  
Funding Programs Lead  
Metro  
600 NE Grand Avenue  
Portland, OR 97232

## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A  
 Number of projects within this Administrative Modification: 4  
 Project Narratives



Project List				
ODOT Key	Lead Agency	Project Name	Project Description	Modification/Changes
Project #1 19355	ODOT	OR212: Rock Creek at Richey Rd	On OR212 west of Damascus to almost 152nd Ave then east to Richey Rd at Boring, pavement preservation, repave roadway and upgrade ADA to current standards (HB2017 Awarded Project, \$1,210,451 Original Award)	<b>COMBINED PROJECT</b> This Admin Mod combines the construction phase funding of \$5,334,287 into Key 18772 to improve construction leveraging capabilities on OR212
Project #2 19356	ODOT	OR212: UPRR Structure - Rock Creek	On OR212 east of I-205 from the UPRR structure to Rock Creek near OR224, pavement repair including three inch inlay between fog lines, upgrade to ADA standards, and other improvements as needed (HB2017 Awarded Project, \$657,473 Original Award)	<b>COMBINED PROJECT</b> This Admin Mod combines the construction phase funding of \$4,261,282 into Key 18772 to improve construction leveraging capabilities on OR212
Project #3 18772	ODOT	OR212: SE Richey Rd - US26	Highway rehab & paving, construct/rehab missing or non-compliant ADA sidewalk ramps, drainage and storm water improvements, and replace Deep Creek culvert	<b>COMBINED PROJECT</b> Key 18772 receives a total of \$9,595,569 from Keys 19355 and 19356 to create a single paving construction phase for the projects on OR212
Project #4 19334	TriMet	FY18 Bus & Rail Preventive Maint (5307)	Capital Maintenance For Bus And Rail	<b>ADD FUNDS</b> Project is updated with recent FTA approved 5307 amounts for TriMet based on the now approved FTA formula fund split for the UZA

## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A  
 Number of projects within this Administrative Modification: 4  
 Project Narratives



### Project Summary (#1)

ODOT Key:	19355	MTIP ID: 70807
Project Type:	Existing	
Name:	<b>OR212: Rock Creek at Richey Rd</b>	
Lead Agency:	<b>ODOT</b>	
Description:	On OR212 west of Damascus to almost 152nd Ave then east to Richey Rd at Boring, pavement preservation, repave roadway and upgrade ADA to current standards (HB2017 Awarded Project, \$1,210,451 Original Award)	
Amending:	COMBINED PROJECT: Construction phase funding of \$5,334,287 is transferred to Key 18772 to leverage paving construction scope of work activities among multiple projects on OR212	

Project Details	Administrative Modification Matrix
<ul style="list-style-type: none"> <li>- No changes</li> </ul>	<p style="text-align: center;"><u>Matrix</u></p> <p>Combining two or more approved projects into one or splitting an approved project into two or more, or splitting part of an approved project to a new one.</p>

Project Funding	Funding Change Details Included
<p><u>Preliminary Engineering Phase:</u></p> <ul style="list-style-type: none"> <li>- No changes</li> <li>- Total PE phase programming remains unchanged at \$1,364,795</li> </ul> <p><u>Right of Way (ROW) Phase:</u></p> <ul style="list-style-type: none"> <li>- DELETE federal NHPP-FAST fund type code (Z001) FY 2018 ROW phase cost of \$26,919</li> <li>- DELETE State fund type code (match to NHPP) FY 2018 ROW phase cost of \$3,081</li> <li>- DELETE federal ADVCON fund type code (ACP0) FY 2018 ROW phase cost of \$128,595</li> <li>- DELETE State fund type code (match to ADVCON) FY 2018 RPW phase cost of \$14,718</li> <li>- ADD federal NHPP-EXT fund type code (M0E1) FY 2018 ROW phase cost of \$146,182</li> <li>- ADD State fund type code (match to NHPP) FY 2018 ROW phase cost of \$16,731 <i>(ROW phase adjusted to reflect actual fund code obligations)</i></li> <li>- Total ROW phase programming amount decreases from \$173,314 to \$162,913</li> </ul> <p><u>Construction Phase:</u></p> <ul style="list-style-type: none"> <li>- DELETE federal NHPP-FAST fund type code (Z001) FY 2019 Construction phase cost of \$4,195,444</li> <li>- DELETE State fund type code (match to NHPP) FY 2019 Construction phase cost of \$480,187</li> </ul>	<ul style="list-style-type: none"> <li>✓ Email request for amendment</li> <li>✓ MTIP Worksheet</li> <li>✓ STIP Impacts Worksheet</li> <li>✓ STIP Summary (contains final changes in Key 18772)</li> </ul> <p>From Key 19355: \$5,334,287</p> <p>K18772, K19355 and K19356 are all paving projects along OR212. Combining the Construction phases of these projects will provide efficiencies in administrative costs for ODOT and the construction contractor, and will eliminate potential conflicts between separate contracts that might arise if the three projects were bid separately, with construction occurring over the same time frame.</p>

## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A  
Number of projects within this Administrative Modification: 4  
Project Narratives



- DELETE federal ADVCON fund type code (ACP0) FY 2019 Construction phase cost of \$591,012
- DELETE State fund type code (match to ADVCON) FY 2019 Construction phase cost of \$67,644
- Total Construction phase programming amount decreases from \$5,334,287 to \$0  
(Construction phase funding is transferred to Key 18772)
- Total project programming amount decreases from \$6,872,396 to \$1,527,708

### MTIP Review Details

- **Administrative Modification Eligible:** Yes. Per the Amendment Matrix, combining project phases and funding into another project is allowable via an Administrative Modification as long as any additional funding added to the combined project does not exceed the Admin Mod threshold levels.
- **Metro Legislation Required:** No.
  - **MTIP Eligibility Verification:** Project has awarded federal funds and is required to be included in the MTIP.
  - **Fiscal Constraint Review and Verification:** Yes, via STIP Impacts Worksheet approved by Region 1 STIP Coordinator
- **Regionally Significant Project:** Yes. The scope provides improvements to the regional network
- **Eligible as a Project Grouping Bucket:** Yes. The scope activities are rehab/paving which is exempt and could be added into a project grouping bucket
- **RTP Consistency Review:**
  - **In Current Constrained RTP:** No. ODOT does not include O&M rehab/reconstruction type projects in the RTP individually or in project grouping buckets
  - **RTP Description:** None
  - **RTP and MTIP Costs Consistent:** N/A
- **Capacity Enhancing Project:** No.
- **Included in Metro roadway modeling network:** No. The project improvements are exempt from air quality analysis modeling, but are located on a Metro defined Principal Arterial in the Arterials modeling network and are counted against system O&M requirements.
- **Satisfies RTP Goals and Strategies:** Yes, the project supports the following key RTP goal: Goal #9, Ensure Fiscal Stewardship, Objective 9.1 – Asset Management – Adequately update, repair and maintain transportation facilities and services to preserve their function, maintain their useful life and eliminate maintenance backlogs.
- **MPO Responsibilities/Public Notification included:** No. Administrative modifications do not require a formal 30 day public notification period.
- **OTC Approval Required:** Not required

# Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A

Number of projects within this Administrative Modification: 4

## Project Narratives



Metro		Metro 2018-21 Metropolitan Transportation Improvement Program (MTIP) PROJECT AMENDMENT DETAIL WORKSHEET				Admin Modification Combined Project 2nd Amendment to Project		
<b>Lead Agency:</b>	ODOT		<b>Project Type:</b>	Local Rd	<b>ODOT Key:</b>	19355		
<b>Project Name:</b> OR212: Rock Creek at Richey Rd			<b>ODOT Type:</b>	Modern	<b>MTIP ID:</b>	70807		
<b>Short Description:</b> On OR212 west of Damascus to almost 152nd Ave then east to Richey Rd at Boring, pavement preservation, repave roadway and upgrade ADA to current standards (HB2017 Awarded Project, \$1,210,451 Original Award)			<b>Capacity Enhancing:</b>	No	<b>RTP ID:</b>	None		
			<b>Conformity Exempt:</b>	Yes	<b>RFFA ID:</b>	N/A		
			<b>On State Hwy Sys:</b>	OR 212	<b>RFFA Cycle:</b>	N/A		
			<b>Mile Post Begin:</b>	0.30	<b>UPWP:</b>	No		
			<b>Mile Post End:</b>	6.85	<b>UPWP Cycle:</b>	N/A		
			<b>Length:</b>	6.55				
<b>Detailed Description:</b> On OR212 west of Damascus to almost 152nd Ave then east to Richey Rd at Boring, pavement preservation, repave roadway and upgrade ADA to current standards (HB2017 Awarded Project, \$1,210,451 Original Award)								
<b>STIP Description:</b> Pavement repair and resurfacing. Sidewalk corner ramp upgrades to current standards.								
PROJECT FUNDING DETAILS								
Fund Type	Fund Code	Year	Planning	Preliminary Engineering	Right of Way	Other (Utility Relocation)	Construction	Total
<b>Federal Funds</b>								
NHS	L050	2016		\$ 448,650				\$ 448,650
NHPP-EX-FAST	Z002	2016		\$ 280,855				\$ 280,855
ADVCON	ACPO	2016		\$ 495,126				\$ 495,126
NHPP-FAST	Z001	2018			\$ <del>26,919</del>			
ADVCON	ACPO	2018			\$ <del>128,596</del>			
NHPP-EXT	MOE1	2018			\$ 146,182			\$ 146,182
NHPP-FAST	Z001	2019					\$ <del>4,195,444</del>	
ADVCON	ACPO	2019					\$ <del>591,012</del>	
							Federal Total:	\$ 1,370,813
<b>State Funds</b>								
State	Match	2016		\$ 51,350				\$ 51,350
State	Match	2016		\$ 32,145				\$ 32,145
State	Match	2016		\$ 56,669				\$ 56,669
State	Match	2018			\$ <del>3,081</del>			
State	Match	2018			\$ <del>14,718</del>			
State	Match	2018			\$ 16,731			\$ 16,731
State	Match	2019					\$ <del>480,187</del>	
State	Match	2019					\$ <del>67,644</del>	
							State Total:	\$ 156,895
<b>Local Funds</b>								
							Local Total:	\$ -
<b>Phase Totals Before Amend:</b>			\$ -	\$ 1,364,795	\$ <del>173,314</del>	\$ -	\$ <del>5,334,287</del>	\$ <del>6,872,396</del>
<b>Phase Totals After Amend:</b>			\$ -	\$ 1,364,795	\$ 162,913	\$ -	\$ -	\$ 1,527,708
<b>Year Of Expenditure (YOE):</b>								\$ 1,527,708

## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A

Number of projects within this Administrative Modification: 4

Project Narratives



**STIP Budget Change table:** IMPORTANT!! Last column and bottom totals calculate automatically. If you enter a number in the desired total column for any phase, you must include the current STIP total for that phase in order for the math to work.

[CLEAR TABLE](#)

AUTH'D	EXPENDED	PHASE	C-FFY	D-FFY	CURRENT STIP TOTAL	DESIRED TOTAL	NEED (+) / SURPLUS (-)
		PLANNING					\$ 0
		PE	2016	2016	\$ 1,364,795	\$ 1,364,795	\$ 0
		ROW	2018	2018	\$ 162,913	\$ 162,913	\$ 0
		UTILITIES					\$ 0
		CONST	2019	2019	\$ 5,334,287	\$ 0	(\$ 5,334,287)
		OTHER					\$ 0
\$ 0	\$ 0	TOTALS			\$ 6,861,995	\$ 1,527,708	(\$ 5,334,287)



## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A  
 Number of projects within this Administrative Modification: 4  
 Project Narratives



Project Summary (#2)	
ODOT Key:	19356
Project Type:	Existing
Name:	OR212: UPRR Structure - Rock Creek
Lead Agency:	ODOT
Description:	On OR212 east of I-205 from the UPRR structure to Rock Creek near OR224, pavement repair including three inch inlay between fog lines, upgrade to ADA standards, and other improvements as needed (HB2017 Awarded Project, \$657,473 Original Award)
Amending:	COMBINED PROJECT: Construction phase funding of \$4,261,282 is transferred to Key 18772 to leverage paving construction scope of work activities among multiple projects on OR212
Project Details	
<ul style="list-style-type: none"> <li>- No changes</li> </ul>	<p style="text-align: center;">Administrative Modification <u>Matrix</u></p> <p>Combining two or more approved projects into one or splitting an approved project into two or more, or splitting part of an approved project to a new one.</p>
Project Funding	
<p><u>Preliminary Engineering Phase:</u></p> <ul style="list-style-type: none"> <li>- DELETE federal ADVCON fund type code (ACP0) FY 2016 PE phase cost of \$389,078</li> <li>- ADD federal State STP-FLX fund type code (Z240) FY 2016 Construction phase cost of \$389,078 <i>(State STP represents the actual follow-on obligation fund code for the ADVCON placeholder. No change to match)</i></li> <li>- Total PE phase programming remains at \$1,329,610</li> </ul> <p><u>Right of Way (ROW) Phase:</u></p> <ul style="list-style-type: none"> <li>- DELETE federal NHPP-FAST fund type (Z001) FY 2018 ROW phase cost of \$62,502</li> <li>- DELETE State fund type code (match to NHPP) FY 2018 ROW phase cost of \$7,154</li> <li>- DELETE federal ADVCON fund type code (ACP0) FY 2018 ROW phase cost of \$49,586</li> <li>- DELETE State fund type (match to ADVCON) FY 2018 ROW phase cost of \$5,675</li> <li>- ADD federal NHPP fund type code (M001) FY 2018 ROW cost of 87,176</li> <li>- ADD State fund type code (match to NHPP) FY 2019 ROW cost of \$9,978</li> <li>- Total ROW phase programming amount decreases from \$124,917 to \$97,154</li> </ul>	<p style="text-align: center;">Funding Change Details Included</p> <ul style="list-style-type: none"> <li>✓ Email request for amendment</li> <li>✓ MTIP Worksheet</li> <li>✓ STIP Impacts Worksheet</li> <li>✓ STIP Summary (contains final changes in Key 18772)</li> </ul> <p>From Key 19356: \$4,261,282</p> <p>K18772, K19355 and K19356 are all paving projects along OR212. Combining the Construction phases of these projects will provide efficiencies in administrative costs for ODOT and the construction contractor, and will eliminate potential conflicts between separate contracts that might arise if the three projects were bid separately, with construction occurring over the same time frame.</p>



## Metro October 2018 – Administrative Mod Bundle #1

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Number of projects within this Administrative Modification: 4  
Project Narratives



### Construction Phase:

- DELETE federal NHPP-FAST fund type code (Z001) FY 2019 Construction phase cost of \$3,622,776
- DELETE State fund type (match to NHPP) FY 2019 Construction phase cost of \$414,643
- DELETE federal ADVCON fund typo code (ACP0) FY 2019 Construction phase cost of \$200,872
- DELETE State fund type code (match to ADVCON) FY 2019 Construction phase cost of \$22,991
- Total Construction phase programming amount decreases from \$4,261,282 to \$0
  
- Total project programming amount decreases from \$5,715,809 to \$1,426,764

### MTIP Review Details

- **Administrative Modification Eligible:** Yes. Per the Amendment Matrix, combining project phases and funding into another project is allowable via an Administrative Modification as long as any additional funding added to the combined project does not exceed the Admin Mod threshold levels.
- **Metro Legislation Required:** No.
  - **MTIP Eligibility Verification:** Project has awarded federal funds and is required to be included in the MTIP.
  - **Fiscal Constraint Review and Verification:** Yes, via STIP Impacts Worksheet approved by Region 1 STIP Coordinator
- **Regionally Significant Project:** Yes. The scope provides improvements to the regional network
- **Eligible as a Project Grouping Bucket:** Yes. The scope activities are rehab/paving which is exempt and could be added into a project grouping bucket
- **RTP Consistency Review:**
  - **In Current Constrained RTP:** No. ODOT does not include O&M rehab/reconstruction type projects in the RTP individually or in project grouping buckets
  - **RTP Description:** None
  - **RTP and MTIP Costs Consistent:** N/A
- **Capacity Enhancing Project:** No.
- **Included in Metro roadway modeling network:** No. The project improvements are exempt from air quality analysis modeling, but are located on a Metro defined Principal Arterial in the Arterials modeling network and are counted against system O&M requirements.
- **Satisfies RTP Goals and Strategies:** Yes, the project supports the following key RTP goal: Goal #9, Ensure Fiscal Stewardship, Objective 9.1 – Asset Management – Adequately update, repair and maintain transportation facilities and services to preserve their function, maintain their useful life and eliminate maintenance backlogs.
- **MPO Responsibilities/Public Notification included:** No. Administrative modifications do not require a formal 30 day public notification period.
- **OTC Approval Required:** Not required

# Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A

Number of projects within this Administrative Modification: 4

Project Narratives



Metro		Metro 2018-21 Metropolitan Transportation Improvement Program (MTIP) PROJECT AMENDMENT DETAIL WORKSHEET						Admin Modification Combined Project 4th Amendment to Project	
<b>Lead Agency:</b>	ODOT			<b>Project Type:</b>	Highway	<b>ODOT Key:</b>	19356		
<b>Project Name:</b>	OR212: UPRR Structure - Rock Creek			<b>ODOT Type:</b>	O&M	<b>MTIP ID:</b>	70808		
<b>Short Description:</b> On OR212 east of I-205 from the UPRR structure to Rock Creek near OR224, pavement repair including three inch inlay between fog lines, upgrade to ADA standards, and other improvements as needed (HB2017 Awarded Project, \$657,473 Original Award)	<b>Capacity Enhancing:</b>		No		<b>RTP ID:</b>	None			
	<b>Conformity Exempt:</b>		Yes		<b>RFFA ID:</b>	N/A			
	<b>On State Hwy Sys:</b>		OR 212		<b>RFFA Cycle:</b>	N/A			
	<b>Mile Post Begin:</b>		5.20		<b>UPWP:</b>	No			
	<b>Mile Post End:</b>		8.19		<b>UPWP Cycle:</b>	N/A			
	<b>Length:</b>		2.99						
<b>Detailed Description:</b> On OR212 east of I-205 from the UPRR structure to Rock Creek near OR224, pavement repair including three inch inlay between fog lines, upgrade to ADA standards, and other improvements as needed (HB2017 Awarded Project, \$657,473 Original Award)									
<b>STIP Description:</b> Repave roadway and upgrade sidewalk corner ramps to current standards.									
PROJECT FUNDING DETAILS									
Fund Type	Fund Code	Year	Planning	Preliminary Engineering	Right of Way	Other (Utility Relocation)	Construction	Total	
<b>Federal Funds</b>									
NHS	L050	2016		\$ 672,975				\$	672,975
STP>200K	Z230	2016		\$ 131,006				\$	131,006
ADVCON	ACPO	2016		<del>\$ 389,078</del>					
State STP-FLX	Z240	2016		\$ 389,078				\$	389,078
NHPP-FAST	Z001	2018			<del>\$ 62,502</del>				
ADVCON	ACPO	2018			<del>\$ 49,586</del>				
NHPP	M001	2018			\$ 87,176			\$	87,176
NHPP-FAST	Z001	2019					<del>\$ 3,622,776</del>		
ADVCON	ACPO	2019					<del>\$ 200,872</del>		
								<b>Federal Total:</b>	<b>\$ 1,280,235</b>
<b>State Funds</b>									
State	Match	2016		\$ 77,025				\$	77,025
State	Match	2016		\$ 14,994				\$	14,994
State	Match	2016		\$ 44,532				\$	44,532
State	Match	2018			<del>\$ 7,154</del>				
State	Match	2018			<del>\$ 5,675</del>				
State	Match	2018			\$ 9,978			\$	9,978
State	Match	2019					<del>\$ 414,643</del>		
State	Match	2019					<del>\$ 22,991</del>		
								<b>State Total:</b>	<b>\$ 146,529</b>
<b>Local Funds</b>									
								\$	-
								\$	-
								<b>Local Total</b>	<b>\$ -</b>
<b>Phase Totals Before Amend:</b>			\$ -	\$ 1,329,610	<del>\$ 124,917</del>	\$ -	<del>\$ 4,261,282</del>	<del>\$ 5,715,809</del>	
<b>Phase Totals After Amend:</b>			\$ -	\$ 1,329,610	\$ 97,154	\$ -	\$ -	\$ 1,426,764	
<b>Year Of Expenditure (YOE):</b>								\$	1,426,764

## Metro October 2018 – Administrative Mod Bundle #1

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Project Narratives



Is the project schedule advancing or slipping 1 FFY or more?  Yes  NO If "Yes", please show current and desired FFY for phase, if no, just show current FFY in table

**STIP Budget Change table:** IMPORTANT!! Last column and bottom totals calculate automatically. If you enter a number in the desired total column for any phase, you must include the current STIP total for that phase in order for the math to work.

[CLEAR TABLE](#)

AUTH'D	EXPENDED	PHASE	C-FFY	D-FFY	CURRENT STIP TOTAL	DESIRED TOTAL	NEED (+) / SURPLUS (-)
		PLANNING					\$ 0
		PE	2016	2016	\$ 1,329,610	\$ 1,329,610	\$ 0
		ROW	2018	2018	\$ 97,154	\$ 97,154	\$ 0
		UTILITIES					\$ 0
		CONST	2019	2019	\$ 4,261,282	\$ 0	(\$ 4,261,282)
		OTHER					\$ 0
\$ 0	\$ 0	TOTALS			\$ 5,688,046	\$ 1,426,764	(\$ 4,261,282)

## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A  
 Number of projects within this Administrative Modification: 4  
 Project Narratives



Project Summary (#3)	
ODOT Key:	18772
Project Type:	Existing
Name:	OR212: SE Richey Rd - US26
Lead Agency:	ODOT
Description:	Highway rehab & paving, construct/rehab missing or non-compliant ADA sidewalk ramps, drainage and storm water improvements, and replace Deep Creek culvert
Amending:	COMBINING PROJECTS: This Admin Mod combines \$9,595,569 from Keys 19355 and 19356 (this Admin Mod) into Key 18772 for a single construction phase paving project.
Project Details	
<ul style="list-style-type: none"> <li>- No changes</li> </ul>	<p style="text-align: center;">Administrative Modification <u>Matrix</u></p> <p>Combining two or more approved projects into one or splitting an approved project into two or more, or splitting part of an approved project to a new one.</p>
Project Funding	
<p><u>Preliminary Engineering Phase:</u></p> <ul style="list-style-type: none"> <li>- No changes</li> <li>- Total PE phase programming remains at \$1,480,591</li> </ul> <p><u>Right of Way (ROW) Phase:</u></p> <ul style="list-style-type: none"> <li>- DELETE federal NHPP-FAST fund type code (Z001) FY 2018 ROW phase cost of \$206,379</li> <li>- DELETE State fund type code (match to NHPP) FY 2018 ROW phase cost of \$23,621</li> <li>- ADD federal NHPP-EX-FAST fund type code (Z002) FY 2018 ROW phase cost of \$275,022</li> <li>- ADD State fund type code (match to NHPP-EX) FY 2018 ROW phase cost of \$31,478</li> <li>- DELETE federal ADVCON fund type code (ACP0) FY 2018 ROW phase cost of \$68,643</li> <li>- DELETE State fund type code (match to ADVCON) FY 2018 ROW phase cost of \$7,856</li> <li>- Total ROW phase programming remains unchanged at \$306,500</li> </ul> <p><u>Other (Utility Relocation) Phase:</u></p> <ul style="list-style-type: none"> <li>- DELETE federal ADVCON fund type code (ACP0) FY 2018 UR phase cost of \$134,595</li> <li>- ADD federal NHPP-FAST fund type code (Z001) FY 2018 UR phase cost of \$134,595</li> <li>- No change to existing match of \$15,405</li> <li>- (NHPP-FAST replaces ADVCON placeholder)</li> <li>- Total Other/UR phase programming amount remains at \$150,000</li> </ul>	<p style="text-align: center;">Funding Change Details Included</p> <ul style="list-style-type: none"> <li>✓ Email request for amendment</li> <li>✓ MTIP Worksheet</li> <li>✓ STIP FP Summary</li> <li>✓ STIP Impacts Worksheet</li> </ul> <p>Construction phase funding from Keys 19355 and 19356 are combined into this project to leverage and establish a single construction phase the paving project. Key 19355 contributes \$5,334,287 and Key 19356 contributes \$4,261,282 to Key 18772. Together, a total of \$9,595,569 is combined into Key 18772.</p> <p>K18772, K19355 and K19356 are all paving projects along OR212. Combining the Construction phases of these projects will provide efficiencies in administrative costs for ODOT and the construction contractor, and will eliminate potential conflicts between separate contracts that might arise if the three projects were bid separately, with construction occurring over the same time frame.</p>

## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A

Number of projects within this Administrative Modification: 4

Project Narratives



### Construction Phase:

- INCREASE federal ADVCON fund type code (ACP0) FY 2019 Construction phase amount from \$2,725,100 to \$3,516,984
- INCREASE Stat fund type code (match to ADVCON) FY 2019 Construction phase cost from \$311,900 to \$402,535
- ADD federal NHPP-FAST fund type code (Z001) FY 2019 Construction phase cost of \$7,818,220
- ADD Sate fund type code (match to NHPP-FAST) FY 2019 Construction phase cost of 894,830
- Total Construction phase programming amount increases from \$3,037,000 to \$12,632,569
  
- Total project programming amount increases from \$4,974,090 to \$14,569,660

### MTIP Review Details

- **Administrative Modification Eligible:** Yes. Per the Amendment Matrix, combining project phases and funding into another project is allowable via an Administrative Modification as long as any additional funding added to the combined project does not exceed the Admin Mod threshold levels.
- **Metro Legislation Required:** No.
  - **MTIP Eligibility Verification:** Project has awarded federal funds and is required to be included in the MTIP.
  - **Fiscal Constraint Review and Verification:** Yes, via STIP Impacts Worksheet approved by Region 1 STIP Coordinator
- **Regionally Significant Project:** Yes. The scope provides improvements to the regional network
- **Eligible as a Project Grouping Bucket:** Yes. The scope activities are rehab/paving which is exempt and could be added into a project grouping bucket
- **RTP Consistency Review:**
  - **In Current Constrained RTP:** No. ODOT does not include O&M rehab/reconstruction type projects in the RTP individually or in project grouping buckets
  - **RTP Description:** None
  - **RTP and MTIP Costs Consistent:** N/A
- **Capacity Enhancing Project:** No.
- **Included in Metro roadway modeling network:** No. The project improvements are exempt from air quality analysis modeling, but are located on a Metro defined Principal Arterial in the Arterials modeling network and are counted against system O&M requirements.
- **Satisfies RTP Goals and Strategies:** Yes, the project supports the following key RTP goal: Goal #9, Ensure Fiscal Stewardship, Objective 9.1 – Asset Management – Adequately update, repair and maintain transportation facilities and services to preserve their function, maintain their useful life and eliminate maintenance backlogs.
- **MPO Responsibilities/Public Notification included:** No. Administrative modifications do not require a formal 30 day public notification period.
- **OTC Approval Required:** Not required

# Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A

Number of projects within this Administrative Modification: 4

Project Narratives



Metro		Metro 2018-21 Metropolitan Transportation Improvement Program (MTIP) PROJECT AMENDMENT DETAIL WORKSHEET				Admin Modification Combined Project 4th Amendment to Project				
Lead Agency:	ODOT		Project Type:	Highway	ODOT Key:	18772				
Project Name: OR212: SE Richey Rd - US26			ODOT Type:	O&M	MTIP ID:	70761				
<b>Short Description:</b> Highway rehab & paving, construct/rehab missing or non-compliant ADA sidewalk ramps, drainage and storm water improvements, and replace Deep Creek culvert			Capacity Enhancing:	No	RTP ID:	None				
			Conformity Exempt:	Yes	On State Hwy Sys:	OR 212	RFFA ID:	N/A		
			Mile Post Begin:	6.85	Mile Post End:	8.87	RFFA Cycle:	N/A		
			Length:	2.02	UPWP:		UPWP Cycle:	N/A		
			<b>Detailed Description:</b> In southeastern Clackamas County near Boring (MP 6.85 to 8.87), highway paving, construct/rehabilitate missing or noncompliant ADA sidewalk ramps to standard, complete drainage and storm water treatment improvements as required, plus replace Deep Creek culvert							
			<b>STIP Description:</b> Paving of the highway in conjunction with targeted deeper pavement repairs within the project limits. Missing or non-compliant ADA sidewalk ramps will be brought up to standard. Drainage and storm water treatment improvements may be required. Culvert at Deep Creek to be replaced.							
PROJECT FUNDING DETAILS										
Fund Type	Fund Code	Year	Planning	Preliminary Engineering	Right of Way	Other (Utility Relocation)	Construction	Total		
<b>Federal Funds</b>										
NHS	L050	2016		\$ 241,374				\$ 241,374		
NHPP-FAST	Z001	2016		\$ 459,051				\$ 459,051		
ADVCON	ACPO	2016		\$ 628,110				\$ 628,110		
<del>NHPP-FAST</del>	<del>Z001</del>	<del>2018</del>			\$ <del>206,379</del>					
NHPP-EX-FAST	Z002	2018			\$ 275,022			\$ 275,022		
<del>ADVCON</del>	<del>ACPO</del>	<del>2018</del>			\$ <del>68,643</del>					
<del>ADVCON</del>	<del>ACPO</del>	<del>2018</del>				\$ <del>134,595</del>				
NHPP-FAST	Z001	2018			\$ 134,595			\$ 134,595		
<del>ADVCON</del>	<del>ACPO</del>	<del>2019</del>				\$ <del>2,725,100</del>				
ADVCON	ACPO	2019				\$ 3,516,984		\$ 3,516,984		
NHPP-FAST	Z001	2019				\$ 7,818,220		\$ 7,818,220		
							Federal Total:	\$ 13,073,356		
<b>State Funds</b>										
State	Match	2016		\$ 27,626				\$ 27,626		
State	Match	2016		\$ 52,540				\$ 52,540		
State	Match	2016		\$ 71,890				\$ 71,890		
<del>State</del>	<del>Match</del>	<del>2018</del>			\$ <del>23,621</del>					
State	Match	2018			\$ 31,478			\$ 31,478		
<del>State</del>	<del>Match</del>	<del>2018</del>			\$ <del>7,856</del>					
State	Match	2018				\$ 15,405		\$ 15,405		
<del>State</del>	<del>Match</del>	<del>2019</del>				\$ <del>311,900</del>				
State	Match	2019				\$ 402,535		\$ 402,535		
State	Match	2019				\$ 894,830		\$ 894,830		
							State Total:	\$ 1,496,304		
<b>Local Funds</b>										
							\$ -			
							\$ -			
							Local Total	\$ -		
Phase Totals Before Amend:			\$ -	\$ 1,480,591	\$ <del>306,499</del>	\$ 150,000	\$ <del>3,037,000</del>	\$ <del>4,974,090</del>		
Phase Totals After Amend:			\$ -	\$ 1,480,591	\$ 306,500	\$ 150,000	\$ 12,632,569	\$ 14,569,660		
							Year Of Expenditure (YOE):	\$ 14,569,660		



## Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A  
 Number of projects within this Administrative Modification: 4  
 Project Narratives



### Project Summary (#4)

ODOT Key:	19334	MTIP ID:	70737
Project Type:	Existing		
Name:	FY18 Bus & Rail Preventive Maint (5307)		
Lead Agency:	TriMet		
Description:	Capital Maintenance For Bus And Rail		
Amending:	ADDED FUNDING: Per FTA approved UZA formal fund split among CTRAN, TriMet and SMART, the project is slipped into 2019 and updated with the revised approved funding.		

### Project Details

- No changes

### Administrative Modification Matrix

Changes in Fiscal Constraint by the following criteria: All FTA project changes – increase/decrease over 30%

Net cost increase to the project is 3.4% and well below the 30% threshold

### Project Funding

#### Other/Transit Phase

- DELETE federal 5307/5337 fund type code (FF91) FY 2018 Other/Transit phase cost of \$38,757,589
- DELETE Local fund type code (match to 5307) FY 2018 Other/Transit phase cost of \$9,689,397
- ADD federal 5307/5337 fund type code (FF91) FY 2019 Other/Transit phase cost of \$40,112,919
- ADD Local fund type code (match to 5307) FY 2019 Other/Transit phase cost of \$10,028,230  
*(Note: MTIP still shows Prevent Maint. in 2018 and not slipped into 2019 per previous Slip Admin Mod direction)*
- Total Other/Transit phase programming amount increases from \$48,446,986 to \$50,141,149
- Total project programming amount increases from \$48,446,986 to \$50,141,149

#### Funding Change Details Included

- ✓ Email request for amendment
- ✓ MTIP Worksheet
- ✓ STIP Summary Report
- ✓ FTA Formula Approval Letter

Per FTA October 2, 2018 letter authorizing the official UZA formula fund split among TriMet, CTRAN, and SMART, approved 5307 form TriMet is increased to \$40,112,919. Due to hold up in split agreement, formula funds had to be slipped into 2019. MTIP is catching up with the slip action.

Cost change = \$1,355.330 = 3.4%

### MTIP Review Details

- **Administrative Modification Eligible:** Yes. Per the Amendment Matrix, cost changes to FTA projects which are below the 30% threshold are allowed to occur via an Admin Modification
- **Metro Legislation Required:** No.
  - **MTIP Eligibility Verification:** Project has awarded federal funds and is required to be included in the MTIP.
  - **Fiscal Constraint Review and Verification:** Yes. FTA approved formula authorization
- **Regionally Significant Project:** Yes. O&M improvements are to the existing bus and rail fleet and therefore support system maintenance and are considered regionally significant projects
- **Eligible as a Project Grouping Bucket:** No.
- **RTP Consistency Review:** Yes
  - **In Current Constrained RTP:** Yes, RTP IDs: 11334 and 11335



# Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A

Number of projects within this Administrative Modification: 4

## Project Narratives



- **RTP Description:** 11334: Maintenance of Light Rail System, 11335: Maintenance of Bus System
- **RTP and MTIP Costs Consistent:** Yes
- **Capacity Enhancing Project:** No
- **Included in Metro roadway modeling network:** Technically, no.
- **Satisfies RTP Goals and Strategies:** Yes, Goal 9, Ensure Fiscal Stewardship, Objective 9.1 – Asset Management – Adequately update, repair and maintain transportation facilities and services to reserve their function, maintain their useful life and eliminate maintenance backlogs
- **MPO Responsibilities/Public Notification included:** No. Administrative modifications do not require a formal 30 day public notification period.
- **OTC Approval Required:** Not required

Metro		Metro 2018-21 Metropolitan Transportation Improvement Program (MTIP) PROJECT AMENDMENT DETAIL WORKSHEET				Admin Modification Add Funds 4th Amendment to Project		
Lead Agency:	TriMet	Project Type:	Transit	ODOT Key:	19334			
Project Name:	FY18 Bus & Rail Preventive Maint (5307)	ODOT Type:	Transit	MTIP ID:	70737			
Short Description:	Capital Maintenance For Bus And Rail	Capacity Enhancing:	No	RTP ID:	11334			
		Conformity Exempt:	Yes	RTP ID:	11335			
		On State Hwy Sys:	No	RFFA ID:	N/A			
		Mile Post Begin:	N/A	RFFA Cycle:	N/A			
		Mile Post End:	N/A	UPWP:	No			
		Length:	N/A	UPWP Cycle:	N/A			
Detailed Description: None - N/A								
STIP Description: Capital Maintenance For Bus And Rail								
PROJECT FUNDING DETAILS								
Fund Type	Fund Code	Year	Planning	Preliminary Engineering	Right of Way	Construction	Other (Transit)	Total
<b>Federal Funds</b>								
5307/5337	FF91	2018					\$ -38,757,589	
5307/5337	FF91	2019					\$ 40,112,919	\$ 40,112,919
								\$ -
							Federal Total:	\$ 40,112,919
<b>State Funds</b>								
								\$ -
								\$ -
							State Total:	\$ -
<b>Local Funds</b>								
Local	Match	2018	-				\$ -9,689,397	
Local	Match	2019					\$ 10,028,230	\$ 10,028,230
								\$ -
							Local Total:	\$ 10,028,230
Phase Totals Before Amend:			\$ -	\$ -	\$ -	\$ -	\$ -48,446,986	\$ -48,446,986
Phase Totals After Amend:			\$ -	\$ -	\$ -	\$ -	\$ 50,141,149	\$ 50,141,149
Year Of Expenditure (YOE):								\$ 50,141,149

# Metro October 2018 – Administrative Mod Bundle #1

Modification Number: **AB19-01-OCT1**, Resolution: N/A

Number of projects within this Administrative Modification: 4

Project Narratives



October 2, 2018

Linda Gehrke, Regional Administrator  
Federal Transit Administration, Region X  
915 Second Avenue, Suite 3142  
Seattle, WA 98174-1002

Dear Ms. Gehrke:

This letter confirms agreement between TriMet, SMART, and C-TRAN on distribution of the Federal FY 2018 full year apportionment funding, ending Sept 30, 2018, available to the Portland, OR-WA Urbanized Area. Funding allocated is specific to Section 5307 – Urbanized Area Funds, Section 5337 – High Intensity Motorbus/State of Good Repair Funds, Section 5339 – Bus and Bus Facilities Funds, Section 5340 – Growing States, and Section 5310 – Enhanced Mobility of Seniors and Individuals with Disabilities Funds. Distribution of the agreed upon funds is shown in the chart below and each agency will spend and report funds in accordance with respective requirements.

Agency	Section 5307 Funds	Section 5337 Funds	Section 5339 Funds	Section 5310 Funds	Total Funds
TriMet	\$40,112,919	\$17,255	\$3,741,718	\$1,231,613	\$45,103,505
SMART	\$390,898	\$0	\$54,528	\$17,003	\$462,429
C-TRAN	\$5,337,705	\$122,950	\$723,878	\$345,548	\$6,530,081
Total	\$45,841,522	\$140,205	\$4,520,124	\$1,594,164	\$52,096,015

If you have any questions or need additional information, please contact Nancy Young-Oliver at TriMet (503-962-5875), Elli Work at SMART (503-682-4523), or Julie Syring at C-TRAN (360-906-7340).



Doug Kelsey  
General Manager

Dwight Brashear  
Transit Director

Shawn M. Donaghy  
Chief Executive Officer

cc: Erika Turney, Grants Administrator, TriMet  
Nancy Young-Oliver, Director, Budget & Grants Administration, TriMet  
Dee Brookshire, Executive Director, Finance & Administration, TriMet  
Keith Katko, Finance Operations Manager, SMART  
Elli Work, Grants and Programs Manager, SMART  
Julie Syring, Financial Manager, C-TRAN  
Ted Leybold, MTIP Program Administrator, Metro  
Dale Robbins, Regional Transportation Council