

Memo

Date: Wednesday, January 30, 2019

To: Gabriela Garcia
ODOT Region 1 STIP Coordinator
123 NW Flanders St
Portland, OR 97201

From: Ken Lobeck, Funding Programs Lead, 503-797-1785

Subject: January 2019 Bundle #2 MTIP Administrative Modification, #AB19-07-JAN2 – Metro Project Phase Slips Approval Request

Dear Gabriela:

In accordance with 23 CFR 450.328, Metro is submitting a Metro approved administrative modification bundle for ODOT’s review and approval for STIP inclusion. The January 2019 Administrative Modification #2 is under amendment number AB19-07-JAN2 and contains eight projects that make up Metro’s mid-year project phase slip review. The included projects as shown in the below table:

ODOT Key	MTIP ID	Lead Agency	Project Name	Phase	Fund Type Code	Year
21121	71018	Beaverton	OR210: SW Scholls Ferry Rd to SW Hall ITS	Other	STP-U	2019 2020
				PE	STP-U	2019 2020
19276	70674	Clackamas County	Jennings Ave: OR 99E to Oatfield Rd	ROW	STP-U	2019 2020
19289	70671	Metro	Transportation System Management & Operations (TSMO) Program 2018	Other	STP-U	2019 2020
20884	70875	Metro	Transportation System Mgmt Operations/ITS (2019)	Other	STBG-U	2019 2020
18758	70757	ODOT	OR8: SW Hocken Ave - SW Short St	ROW	STP-U	2019 2020
				ROW	State STP	2019 2020
21040	71011	PSU	Portal Regional Archived Data User Service	Other	STP-U	2019 2020
19357	70689	Tualatin Hills PRD	Beaverton Creek Trail: Westside Trail - SW Hocken Ave	PE	STBG-U	2019 2020
Number of Projects:		7	Number of Phases:	9	Total:	

Projects with

ODOT Key	MTIP ID	Lead Agency	Project Name	Phase	Fund Type Code	Year
19299	70677	Portland	Portland Central City Safety Project - Phase 2	PE	CMAQ-U	2019 2020
				ROW	CMAQ-U	2020 2021
Number of Projects:		1	Phases:	2	Total:	

The Metro funded project phase slip administrative modification is occurring with conjunction with the ODOT funded project review and slip admin modification completed during December 2018. The purpose of the Metro review and slip admin mod will assist Metro determine projects that will obligate or not by the end of FFY 2019, plus develop annual obligation targets. Included with this cover letter is the summary project slip narrative, and updated fiscal constraint table for Metro's CMAQ, STBG, and TA/TAP funds demonstrating fiscal constraint is still being maintained.

I certify Metro has completed all required review and approval steps as the MPO and IAW with 23 CFR 450.328 for the 2018 MTIP for this administrative modification bundle.

Please direct any comments or questions concerning this amendment to Ken Lobeck, Funding Programs Lead at 503-797-1785, or via email at ken.lobeck@oregonmetro.gov.

Thank you for your time to review Metro's January 2019 Bundle #2, 2018 MTIP Administrative Modification approval request to the 2018 MTIP and STIP.

Kenneth F Lobeck

Kenneth F. Lobeck
Funding Programs Lead
Metro
600 NE Grand Avenue
Portland, OR 97232

**Metro STP and CMAQ Funded Projects Required Slips
Amendment #: AB19-07-JAN2**

Projects with STP/STBG Funds that Will Not Obligate Their Phase and Funds by the End of FFY 2019 (by September 1, 2019)

ODOT Key	MTIP ID	Lead Agency	Project Name	Phase	Fund Type Code	Year	STP Amount or Other Fed Amount	Phase Obligated Y/N	Project Status Code	First Year Programmed	Years Active Entering FFY 2019	Slip Reason	SLIP ACTION
21121	71018	Beaverton	OR210: SW Scholls Ferry Rd to SW Hall ITS	Other	STP-U	2019 2020	\$ 310,466	No	1	2018	2	Reason for Slip: Ability to obligate at least Other phase with ConOps in question. IGA is not close to being approved. Slip all phases. 2nd year all phases slip without forward progress.	Slip Other phase with STP funds of \$310,466 and match of \$35,534 (total of \$346,000) from 2019 to 2020
				PE	STP-U	2019 2020	\$ 134,595	No					Slip PE phase with \$134,595 of STP and match of \$15,405 (total of \$150,000) from 2019 to 2020
19276	70674	Clackamas County	Jennings Ave: OR 99E to Oatfield Rd	ROW	STP-U	2019 2020	\$ 403,785	No	3	2017	3	Reason for Slip: County needed to revise the Master Certification Agreement and, re-initiate the process to become Certified in procurement of A&E Consultant services, before they advertise to procure Consultant services for the PE phase of the project.	Slip ROW phase with STP funds of \$403,785 and match of \$46,215 (total of \$450,000) from 2019 to 2020
19289	70671	Metro	Transportation System Management & Operations (TSMO) Program 2018	Other	STP-U	2019 2020	\$ 532,658	No	2	2018	2	Reason for Slip: Remaining approved ITS projects are still in conceptual stage. Scoping and full budget review have not been completed. Next approved project to be slit out of TSMO PGB most likely will not be ready by end of FFY 2019. Plan to slip to 2020.	Slip Other phase with STP funds of \$532,658 and match of \$60,965 (total of \$593,623) from 2019 to 2020
20884	70875	Metro	Transportation System Mgmt Operations/ITS (2019)	Other	STBG-U	2019 2020	\$ 1,693,574	No	1	2019	1	Reason for Slip: Approved projects within the bucket have not completed required pre-scoping and budget reviews in time to obligate by the end of FFY 2019. Slip Other phase to 2020 - KL	Slip Other phase with STP funds of \$1,693,574 and match of \$193,837 (total of \$1,887,411) from 2019 to 2020
18758	70757	ODOT	OR8: SW Hocken Ave - SW Short St	ROW	STP-U	2019 2020	\$ 448,650	No	4	2015	5	Reason for SLIP: Status unknown. Slip as a precaution unless Region 1 STIP Coordinator confirms project is on schedule and no slip is required. Also, STIP combines Metro STP and State STP into ADVCON. Total amounts match.	Slip ROW phase with STP funds of \$448,650 and match of \$51,350 (total of \$500,000) from 2019 to 2020
				ROW	State STP	2019 2020	\$ 121,136	? Assumed no					Slip ROW phase with State STP funds of \$121,136 and match of \$13,865 (total of \$135,000) from 2019 to 2020
21040	71011	PSU	Portal Regional Archived Data User Service	Other	STP-U	2019 2020	\$ 200,000	No	0	2018	2	Reason for Slip: Unclear that PSU will complete current allocation in time to obligate next allocation before the end of FFY 2019. SLIP as a contingency.	Slip Other phase with STP funds of \$200,000 and match of \$22,891 (total of \$222,891) from 2019 to 2020
19357	70689	Tualatin Hills PRD	Beaverton Creek Trail: Westside Trail - SW Hocken Ave	PE	STBG-U	2019 2020	\$ 589,309	No	2	2016	4	Reason for Slip: Planning phase UPWP update indicates planning phase is just beginning and will not be completed until 2020 resulting in a delay in starting the PE Phase.	Slip PE phase with \$589,309 of STP and match of \$67,449 (total of \$656,758) from 2019 to 2020
Number of Projects:		7	Number of Phases:		9	Total: \$ 4,434,173						Summary: 7 projects impacting 9 phases for a total of \$4,313,037 of Metro STP plus \$121,136 of State STP requires to be slipped from FFY 2019 to FFY 2020 as of January 30, 2019.	

Projects with CMAQ Funds that Will Not Obligate Their Phase and Funds by the End of FFY 2019 (by September 1, 2019)

ODOT Key	MTIP ID	Lead Agency	Project Name	Phase	Fund Type Code	Year	STP Amount or Other Fed Amount	Phase Obligated Y/N	Project Status Code	First Year Programmed	Years Active Entering FFY 2019	Slip Reason	SLIP ACTION
19299	70677	Portland	Portland Central City Safety Project - Phase 2	PE	CMAQ-U	2019 2020	\$ 648,000	No	2	2016	4	Reason for Slip: Metro is evaluating the project to determine if CMAQ will remain as primary funding mechanism or if project will be de-federalized. Initiating PE IGA on hold until funding strategy determined. Delay most likely will impact start of PE phase until FFY 2020	Slip PE phase with \$648,000 of CMAQ and matching of \$74,166 (total of \$722,166) from 2019 to 2020
				ROW	CMAQ-U	2020 2021	\$ 100,000	No					Slip ROW phase with \$100,000 of CMAQ and match of \$11,445 (total of \$111,445) from 2020 to 2021
Number of Projects:		1	Phases:	2	Total:		\$ 748,000						

Total number of Projects requiring phase slips: 8

Total number of phases requiring slips: 11

Metro MTIP Fiscal Constraint Tables
Through January 2019 Formal Amendment & January Admin Mod Bundle #2 (Metro Slip Admin Mod)
As of January 30, 2019



Pre-Amendment CMAQ Fiscal Constraint Levels							CMAQ Obligation Authority Limitation Summary					Post Amendment CMAQ Fiscal Constraint Summary								
Fund Type Code	Federal Fiscal Year	Current Programming Amounts	Current Programming Capacity	Remaining Capacity Amount	Programming Percent of Capacity	Constrained or Over Programmed	Annual Obligation Authority Limitation	Annual OA Cover Programming Needs?	Annual OA vs Programming Surplus or Shortfall	U3 OA Amount Required	Adjusted U3 OA Limitation Balance	Programming Fund Additions or Subtractions	Change in Programming Amount	Capacity	Revised Programming vs. Capacity Percent	Remaining Capacity	Post Amendment Over Programmed or Constrained	Additional U3 OA Required	U3 Amount Shifted	Revised U3 Available
							Starting Available CMAQ U3 OA --> \$ 18,919,180													
CMAQ	2019	\$ 12,398,000	\$ 14,398,000	\$ 2,000,000	86.1%	Constrained	\$ 13,497,056	No	\$ (900,944)	\$ -	\$ 18,919,180	\$ (648,000)	\$ 11,750,000	\$ 13,497,056	87.1%	\$ 2,648,000	Constrained	No	\$ -	\$ 18,919,180
CMAQ	2020	\$ 14,343,478	\$ 16,343,478	\$ 2,000,000	87.8%	Constrained	\$ 14,130,750	No	\$ (2,212,728)	\$ 2,212,728	\$ 16,706,452	\$ 548,000	\$ 14,891,478	\$ 16,343,478	91.1%	\$ 1,452,000	Constrained	No	\$ -	\$ 16,706,452
CMAQ	2021	\$ 17,589,665	\$ 18,900,000	\$ 1,310,335	93.1%	Constrained	\$ 14,130,750	No	\$ (4,769,250)	\$ 2,769,250	\$ 13,937,202	\$ 100,000	\$ 17,689,665	\$ 18,900,000	93.6%	\$ 1,210,335	Constrained	No	\$ -	\$ 13,937,202
3 Yr Summary:		\$ 44,331,143	\$ 49,641,478	\$ 5,310,335	89.3%	Constrained						\$ -	\$ 44,331,143	\$ 48,740,534	91.0%	\$ 4,409,391	Constrained			

Summary of Amended Changes Upon CMAQ Fiscal Constraint

Key	Year	Amended Change	Key	Year	Amended Change
19299	2019	PE phase slip with \$648,000 of CMAQ plus required match from 2019 to 2020 (Deduct \$648,000 in 2019 & add \$648,000 to 2020)			
19299	2020	ROW phase slip with \$100,000 of CMAQ plus required match from 2020 to 2021 (Deduct \$100,000 in 2020 and add \$100,000 to 2021)			
Summary Note: The expected need to draw from the U3 fund reserve for 2019 will not materialize at this time. However, if CMAQ is swapped for STBG on the West Line OR43 project, then further adjustments may be needed					

CMAQ Notes: At the end of FY 2021, available U3 funds that could be carried over into the 2021-2026 MTIP will range from \$13,036,258 to \$15,846,593

Pre-Amendment STBG Fiscal Constraint Levels							STBG Obligation Authority Limitation Summary					Post Amendment STBG Fiscal Constraint Summary								
Fund Type Code	Federal Fiscal Year	Current Programming Amounts	Current Programming Capacity	Remaining Capacity Amount	Programming Percent of Capacity	Constrained or Over Programmed	Annual Obligation Authority Limitation	Annual OA Cover Programming Needs?	Annual OA vs Programming Surplus or Shortfall	U3 OA Amount Required	Adjusted U3 OA Limitation Balance	Programming Fund Additions or Subtractions	Change in Programming Amount	Capacity	Revised Programming vs. Capacity Percent	Remaining Capacity	Post Amendment Over Programmed or Constrained	Additional U3 OA Required	U3 Amount Shifted	Revised U3 Available
							Starting Available STBG U3 OA --> \$ 51,213,896													
STBG	2019	\$ 42,980,713	\$ 44,976,728	\$ 1,996,015	95.6%	Constrained	\$ 30,610,321	No	\$ (14,366,407)	\$ 9,366,407	\$ 41,847,489	\$ (4,313,037)	\$ 38,667,676	\$ 39,976,728	96.7%	\$ 1,309,052	Constrained	No	\$ -	\$ 41,847,489
STBG	2020	\$ 45,835,154	\$ 47,831,370	\$ 1,996,216	95.8%	Constrained	\$ 31,283,748	No	\$ (16,547,622)	\$ 20,547,622	\$ 21,299,867	\$ 4,313,037	\$ 50,148,191	\$ 51,831,370	96.8%	\$ 1,683,179	Constrained	No	\$ -	\$ 21,299,867
STBG	2021	\$ 27,065,623	\$ 34,444,919	\$ 7,379,296	78.6%	Constrained	\$ 31,971,991	No	\$ (2,472,928)	\$ 2,472,928	\$ 18,826,939	\$ -	\$ 27,065,623	\$ 34,444,919	78.6%	\$ 7,379,296	Constrained	No	\$ -	\$ 18,826,939
3 Yr Summary:		\$ 115,881,490	\$ 127,253,017	\$ 11,371,527	91.1%	Constrained						\$ -	\$ 115,881,490	\$ 126,253,017	91.8%		Constrained			

Summary of Amended Changes Upon STBG Fiscal Constraint

Key	Year	Amended Changes	Key	Year	Amended Changes
21121	2019	Slip Other phase with \$310,466 of STBG plus required match from 2019 to 2020 (Deduct \$310,466 from 2019 & add to 2020)	18758	2019	Slip ROW phase with \$121,136 of State STP plus required match from 2019 to 2020 (Noi impact to Metro STBG fiscal constraint programming)
21121	2019	Slip PE phase with \$134,595 of STBG plus required match from 2019 to 2020 (Deduct \$134,5956 from 2019 & add to 2020)	21040	2019	Slip Other phase with \$200,000 of STBG plus required match from 2019 to 2020 (Deduct \$200,000 from 2019 & add to 2020)
19276	2019	Slip ROW phase with \$403,785 of STBG from 2019 to 2020 (Deuct \$403,785 form 2019 & add it to 2020)	19357	2019	Slip PE with \$589,309 of STBG plus match from 2019 to 2020 (Deduct \$589,309 from 2019 and add to 2020)
19289	2019	Slip Other phase with \$532,658 of STBG plus required match from 2019 to 2020 (Deduct \$532,658 from 2019 & add to 2020)	Total Metro STP	\$4,313,037	Summary Note: The Admin Mod slipping \$4.3 million of STBG to 2020 requires a \$4 million US adjstment from 2019 to 2020. This a lateral shift of the U3 funds. Programming capacity still remains a little of actual programmed amounts. FY 2019 closes the programming vs capacity gap to establish annual OA Targets for Metro STBG - KL
20884	2019	Slip Other phase with \$1,693,574 of STBG plus required match from 2019 to 2020 (Deduct \$532,658 from 2019 & add to 2020)	Total State STP	\$121,136	
18758	2019	Slip ROW phase with \$448,650 of STBG plus required match from 2019 to 2020 (Deduct \$448,650 from 2019 & add to 2020)			

STBG Notes: At the end of FY 2021, available U3 funds that could be carried over into the 2021-2026 MTIP will range from \$18,826,939 to \$23,826,939

Pre-Amendment TA/TAP Fiscal Constraint Levels							TA/TAP Obligation Authority Limitation Summary					Post Amendment TA/TAP Fiscal Constraint Summary								
Fund Type Code	Federal Fiscal Year	Current Programming Amounts	Current Programming Capacity	Remaining Capacity Amount	Programming Percent of Capacity	Constrained or Over Programmed	Annual Obligation Authority Limitation	Annual OA Cover Programming Needs?	Annual OA vs Programming Surplus or Shortfall	U3 OA Amount Required	Adjusted U3 OA Limitation Balance	Programming Fund Additions or Subtractions	Change in Programming Amount	Capacity	Revised Programming vs. Capacity Percent	Remaining Capacity	Post Amendment Over Programmed or Constrained	Additional U3 OA Required	U3 Amount Shifted	Revised U3 Available
							Starting Available STBG U3 OA --> \$ 6,514,872													
TA	2019	\$ 1,008,230	\$ 2,008,230	\$ 1,000,000	50.2%	Constrained	\$ 1,381,000	No	\$ (627,230)	\$ 627,230	\$ 5,887,642	\$ -	\$ 1,008,230	\$ 2,008,230	50.2%	\$ 1,000,000	Constrained	No	\$ -	\$ 5,887,642
TA	2020	\$ 967,524	\$ 1,967,524	\$ 1,000,000	49.2%	Constrained	\$ 1,381,000	No	\$ (586,524)	\$ 586,524	\$ 5,301,118	\$ -	\$ 967,524	\$ 1,967,524	49.2%	\$ 1,000,000	Constrained	No	\$ -	\$ 5,301,118
TA	2021	\$ 80,000	\$ 1,080,000	\$ 1,000,000	7.4%	Constrained	\$ 1,381,000	Yes	\$ 301,000	\$ 301,000	\$ 5,602,118	\$ -	\$ 80,000	\$ 1,080,000	7.4%	\$ 1,000,000	Constrained	No	\$ -	\$ 5,602,118
3 Yr Summary:		\$ 2,055,754	\$ 5,055,754	\$ 3,000,000	40.7%	Constrained						\$ -	\$ 2,055,754	\$ 5,055,754	40.7%		Constrained			

Summary of Amended Changes Upon TA/TAP Fiscal Constraint

Key	Year	Amended Changes	Key	Year	Amended Changes
		NO CHANGES			

TA/TAP Notes: At the end of FY 2021, available U3 funds that could be carried over into the 2021-2026 MTIP will range from \$5,602,118 to \$8,602,118