



MAR 23 1992

Reply To
Attn Of: MD-140

Rena Cusma, Executive Officer
Metropolitan Service District
Solid Waste Department
2000 Southwest First Avenue
Portland, Oregon 97201-5398

RECEIVED
APR 1 1992
METRO SERVICE DISTRICT
EXECUTIVE MANAGEMENT

Re: X1-000674-01-0
Solid Waste Management Assistance

Dear Ms. Cusma:

I am pleased to approve your application for Federal Assistance for the Commercial Construction Site Recycling Project. The assistance amount is \$30,000. A minimum of five percent cost sharing is required.


We do not have a copy of your current negotiated indirect cost rate agreement. In order to claim indirect costs, we must have a copy for our files. Please submit this with the signed agreement. If your indirect charges vary from the amount previously indicated on the budget page of your application, you will also need to submit a revised budget.

Partial payment requests should be submitted on the enclosed SF 270 forms. The management of these funds is subject to final audit. We have also enclosed the applicable regulations and an accounting guide.

Please review the enclosed agreement, particularly the terms and conditions in Part III. If it is satisfactory, please sign, date, and return the original and two copies by certified mail to Grants Administration Section, MD-140, within three weeks of receipt. The agreement must be signed by the authorized representative.

If you wish to discuss this agreement, please contact Lauris Davies, EPA Project Officer, at (206) 553-6522.

Sincerely,

for 
Randall F. Smith, Director
Hazardous Waste Division

Enclosures

cc: Lauris Davies, HW-107
Mary Fujii, OOO
Leigh Zimmerman, MSD



**U.S. Environmental Protection Agency
EPA ASSISTANCE AGREEMENT/AMENDMENT
PART I - ASSISTANCE NOTIFICATION INFORMATION**

1. ASSISTANCE ID NO. X1000674-01-0	2. LOG NUMBER 10-X1-04
3. DATE OF AWARD 03/23/92	4. MAILING DATE 03/30/92

5. AGREEMENT TYPE		6. PAYMENT METHOD	
Cooperative Agreement		<input type="checkbox"/> Advance	<input checked="" type="checkbox"/> Reimbursement
Grant Agreement	X	Send Payment Request to:	
Assistance Amendment		See Item #14	
		7. TYPE OF ACTION New	

RECIPIENT ORGANIZATION	8. RECIPIENT METROPOLITAN SERVICE DISTRICT SOLID WASTE DEPARTMENT 2000 SW FIRST AVENUE PORTLAND, OR 97201-5398	9. PAYEE METROPOLITAN SERVICE DISTRICT 2000 SW FIRST AVENUE PORTLAND, OR 97201-5398
	EIN NO. 93-0636311	CONGRESSIONAL DISTRICT ALL

10. RECIPIENT TYPE Special Purpose District	11. PROJECT MANAGER AND TELEPHONE NO. LEIGH ZIMMERMAN CONTACT PERSON (503) 221-1646	12. CONSULTANT (WWT Construction Grants only) N / A
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EPA CONTACT	13. ISSUING OFFICE (CITY/STATE) U.S. EPA, Region 10 Grants Administration Section, MD-140 1200 Sixth Avenue Seattle, WA 98101	14. EPA PROJECT/STATE OFFICER AND TELEPHONE NO. LAURIS DAVIES, EPA PROJECT OFFICER 1200 SIXTH AVENUE, HW-107 SEATTLE, WA 98101 (206) 553-6522 JULIE A. JODOCK, GRANTS ASSISTANT (206) 553-1794
	15. EPA CONGRESSIONAL LIAISON & PHONE Barbara Brooks, (202) 260-5660	16. STATE APPL ID (Clearinghouse) N/A

17. SCIENCE FIELD 44	18. PROJECT STEP (WWT Construction Grants only) N/A	19. STATUTORY AUTHORITY SOLID WASTE DISPOSAL ACT: SEC. 8001	20. REGULATORY AUTHORITY 40 CFR PARTS 31, 32	21. STEP 2 + 3 & STEP 3 (WWT Construction Grants only) N/A
		a. Treatment Level		
		b. Project Type		
		c. Treatment Process		
		d. Sludge Design		

22. PROJECT TITLE AND DESCRIPTION Commercial Construction Site Recycling Project - Model Program
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23. PROJECT LOCATION (Areas Impacted by Project)			
City/Place PORTLAND METRO AREA	County STATEWIDE	State OR	Congressional District ST AT EW ID E

24. ASSISTANCE PROGRAM (CFDA Pgm. No. & Title) 66.808: Solid Waste Management Assistance	25. PROJECT PERIOD 03/23/92 to 03/31/93	26. BUDGET PERIOD 03/23/92 to 03/31/93
27. COMMUNITY POPULATION (WWT Construction Grants only) N / A	28. TOTAL BUDGET PERIOD COST \$107,700	29. TOTAL PROJECT PERIOD COST \$107,700

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
30. EPA Amount This Action	\$0	\$30,000	
31. EPA In-Kind Amount	0	0	
32. Unexpended Prior Year Balance	0	0	
33. Other Federal Funds	0	0	
34. Recipient Contribution	0	77,700	
35. State Contribution	0	0	
36. Local Contribution	0	0	
37. Other Contribution	0	0	
38. Allowable Project Cost	\$0	\$107,700	

39. FISCAL	Program Element 01) B80D2D	FY 92	Appropriation 682/30108	Doc. Control No. C20012	Account No. 2B801030X3	Object Class 41.21	Obligation/Deobligation Amount \$30,000
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TABLE A - OBJECT CLASS CATEGORY (Non-construction)		TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST
1. PERSONNEL		\$21,200
2. FRINGE BENEFITS		0
3. TRAVEL		0
4. EQUIPMENT		0
5. SUPPLIES		0
6. CONTRACTUAL		25,000
7. CONSTRUCTION		0
8. OTHER		56,500
9. TOTAL DIRECT CHARGES		\$102,700
10. INDIRECT COSTS: RATE <u>0.00</u> BASE <u> </u>		5,000
11. TOTAL (Share: Recipient <u>5.00%</u> Federal <u>95.00%</u>)		\$107,700
12. TOTAL APPROVED ASSISTANCE AMOUNT		\$30,000
TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction)		
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		
11.		
12. TOTAL (Share: Recipient <u> </u> % Federal <u> </u> %)		
13. TOTAL APPROVED ASSISTANCE AMOUNT		
TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction)		
1. ADMINISTRATION EXPENSE		
2. PRELIMINARY EXPENSE		
3. LAND STRUCTURES, RIGHT-OF-WAY		
4. ARCHITECTURAL ENGINEERING BASIC FEES		
5. OTHER ARCHITECTURAL ENGINEERING FEES		
6. PROJECT INSPECTION FEES		
7. LAND DEVELOPMENT		
8. RELOCATION EXPENSE		
9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS		
10. DEMOLITION AND REMOVAL		
11. CONSTRUCTION AND PROJECT IMPROVEMENT		
12. EQUIPMENT		
13. MISCELLANEOUS		
14. TOTAL (Lines 1 thru 13)		
15. ESTIMATED INCOME (If applicable)		
16. NET PROJECT AMOUNT (Line 14 minus 15)		
17. LESS: INELIGIBLE EXCLUSIONS		
18. ADD: CONTINGENCIES		
19. TOTAL (Share: Recipient <u> </u> % Federal <u> </u> %)		
20. TOTAL APPROVED ASSISTANCE AMOUNT		

1. Minority/Womens Business Enterprises (MBEs/WBEs)

The recipient agrees to negotiate a "Fair Share" percentage of not less than 8% with EPA before the recipient begins the process to award any contracts under this agreement.

The recipient must ensure to the fullest extent possible that at least the negotiated "Fair Share" percentage of Federal funds for prime contracts or subcontracts for supplies, equipment, construction, or services are made available to organizations owned or controlled by socially and economically disadvantaged individuals, women, and historically black colleges and universities. The "Fair Share" percentage must be divided into two categories -- MBE and WBE.

The recipient agrees to include in its bid documents, and require all of its prime contractors to include in their bid documents for subcontracts, at least the negotiated "Fair Share" percentages.

To evaluate compliance with the "Fair Share" policy, the recipient also agrees to comply with the six affirmative steps stated in 40 CFR 31.36(e), 33.240, or 35.6580(a), as appropriate.

Standard Form 334 ("MBE/WBE Utilization Under Federal Grants, Cooperative Agreements, and Other Federal Financial Assistance") reporting requirements:

- a. The recipient agrees to submit a SF-334 beginning with the Federal fiscal quarter the recipient awards its first contract and continuing until all contracts and subcontracts have been reported.
- b. These reports must be submitted quarterly to EPA, Region 10, Grants Administration Section MD-140, 1200 Sixth Ave., Seattle, WA 98101.
- c. The SF-334s are due no later than 30 days after each reporting quarter. Reporting quarters are: (1) Oct. thru Dec., (2) Jan. thru Mar., (3) Apr. thru Jun., and (4) Jul. thru Sept.

2. Small Business in Rural Areas (SBRAs)

If a contract is awarded under this assistance agreement, the recipient is also required to utilize the following affirmative steps:

- a. Place SBRAs on solicitation lists;
- b. Make sure that SBRAs are solicited whenever there are potential sources;
- c. Divide total requirements, when economically feasible, into small tasks or quantities to permit maximum participation by SBRAs;
- d. Establish delivery schedules, where the requirements of work will permit, which would encourage participation by SBRAs;

- e. Use the services of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce, as appropriate; and
- f. Require the contractor to comply with the affirmative steps outlined above.

The recipient also agrees to negotiate a "Fair Share" percentage with EPA for SBRAs.

There is no formal reporting requirement for SBRAs at this time; it is recommended that you keep records of SBRA participation.

3. The recipient agrees that it will comply with the provisions of OMB Circular A-128 governing the audit of State and local government and Indian tribe federal assistance recipients for fiscal years that begin after December 31, 1984.
4. The Financial Status Report (FSR), Standard Form 269, for this award shall be submitted to the Grants Administration Section, MD-140, within 90 days after the end of the budget period. When an in-kind amount is reflected on page 1, line 31, of the Assistance Agreement, the recipient shall identify in-kind expenditures in a separate column on the FSR.
5. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
6. The cost principles of OMB Circular A-87 are applicable to this award. When indirect costs are included within the assistance budget, the recipient must be in compliance with A-87 and EPA regulations regarding allowable project costs. Actual indirect costs charged to this Assistance Agreement may not exceed the final approved rates as negotiated annually between the recipient and the appropriate cognizant Federal agency, a copy of the negotiated rate must be submitted to Region 10 Grants Administration Section and will become part of the Grants file.
7. Grantees will not charge nor claim for reimbursement any indirect costs until a current, acceptable indirect cost rate has been negotiated with a Federal agency. The grantee must submit a copy of the Indirect Cost Negotiation Agreement to the EPA Regional Office within 30 days after the indirect cost rate has been accepted, in order to be eligible to claim indirect costs against this grant award.
8. When issuing statements, press releases, requests for proposals, bid solicitations, and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds, including but not limited to State and local governments, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, and (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.
9. Pursuant to an EPA Order 1000.25, dated January 24, 1990, the recipient agrees to use recycled paper for all reports which are prepared as a part of this agreement and

SPECIAL CONDITIONS (Continued)

delivered to the Agency. This requirement does not apply to Standard Forms. These forms are printed on recycled paper as available through the General Services Administration.

PART IV

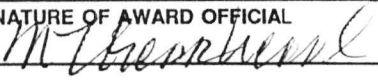
NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administration Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA) hereby offers assistance/amendment to the METROPOLITAN SERVICE DISTRICT for 95.00% of all approved costs incurred up to and not exceeding \$ 30,000 for the support of approved budget period effort described in application (including all application modifications) cited in Item 22 of this agreement, 03/04/92 66.808 Solid Waste Management, included herein by reference.

ISSUING OFFICE (Grants Administration Office)	AWARD APPROVAL OFFICE
ORGANIZATION/ADDRESS U.S. EPA, Region 10 Grants Administration Section, MD-140 1200 Sixth Avenue Seattle, WA 98101	ORGANIZATION/ADDRESS Regional Administrators Office Environmental Protection Agency 1200 Sixth Avenue Seattle, WA 98101

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL 	TYPED NAME AND TITLE RANDALL F. SMITH, DIRECTOR HAZARDOUS WASTE DIVISION	DATE MAR 23 1992
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This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any, found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE	TYPED NAME AND TITLE RENA CUSMA EXECUTIVE OFFICER	DATE
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